

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WILLIAM C. FARLEY
SEAN MCMANIMON

Serial No.: 10/660,039

Filed: September 9, 2003

For: APPARATUS AND METHOD FOR
CARRYING OUT AND MONITORING
CURRENCY-CHIP TRANSACTIONS IN CASINO

DECLARATION VERIFYING SMALL ENTITY STATUS <u>UNDER 27 C.F.R. 19(f) AND 1.27(b)</u>

Hon. Commissioner of Patents and Trademarks, PO Box 1450 Alexandria, VA. 22313-1450

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14 Dear Sir:

As a named inventor, I hereby that I qualify as an independent inventor as defined in 37 C.F.R. 1.9 (c) for purposes of paying reduced fees under § 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-identified patent.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights the invention to any person who could not be classified as an independent inventor under 27 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9 (e). Any person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is identified below:

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24	I hereby certify that this correspondence is being
25	deposited with the United States Postal Service as: CERTIFIED MAIL NO. 70030500 000 5322 RETURN RECEIPT REQUESTED
26	RETURN RECEIPT REQUESTED in an envelope addressed to:
27	COMMISSIONER OF PATENTS AND TRADEMARKS, PO BOX 145(), Agraphia, VA. 22313-1450 on: 3-11-04
28	Off He 3-11-04
	TOD R. NISSLE, Reg. No. 29,241 DATE

1			
2	Full Name:	_N/A	
3	Address:		
4			
5	[] Individual	[] Small Business	[] Non profit Organization
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8	Full Name:	N/A	
9	Address:		
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11	[] Individual	[] Small Business	[] Non profit Organization
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13		I acknowledge the duty to file, in this pat	ent, notification of any change in status resulting in loss
14	of entitlement to	small entity status prior to paying, or a	t the time of paying, the earliest of the issue fee or any
15	maintenance fee	due after the date on which status as a sn	nall entity is no longer appropriate. [37 C.F.R. 1.28(b)]
16			
17		I hereby declare that all statements herei	n of my own knowledge are true and that all statements
18	made on informa	ation and belief are believed to be true;	and further that these statements were made with the
19	knowledge that v	willful false statements or the like so ma	ade are punishable by fine or imprisonment, or both,
20	under §1001 to 7	Title 18 of the United States Code, and	that such willful false statement may jeopardize the
21	validity of the app	plication, any patent issuing thereon, or a	any patent to which this verified statement is directed.
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1	Name of Inventor:	WILLIAM C. FARLEY
2	Address:	8 Ternberry Court
3		Turnersville, N.J. 08012
4	Signature:	/ willing c. Fag
5	Date:	2)9/04 - 2)9/04
6		
7	Name of Inventor:	SEAN MCMANIMON
8	Address:	2 Dorato Drive
9		Egg Harbon Township, N.J. 08234
10	Signature:	13-6-04
11	Date:	V3-6-04
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14	Attorney's Docket N	Io.: <u>934-P-3</u>
15	TOD R. NISSLE, P.C	2.
16	P.O. Box 55630 Phoenix, Arizona 850	078
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18	Fax: 602	2-970-8700 2-970-8707
19	Email: niss	sle@nissle.com
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DECLARATION, POWER OF ATTORNEY, AND PETITION

As a below named inventor, I hereby declare that:

3						
4	My residence, post office address and citizen ship are as stated below next to my name.					
5	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first					, first
	and joint inventor (if plura	l names are li	isted below) of the subject matter which	is claimed and f	or which a	atent
6	is sought on the invent	tion entitled:	APPARATUS AND METHOD	FOR CARRY	NG OUT	AND
7	MONITORING CURREN	NCYCHIP	TRANSACTIONS IN CASINO the spe	ecification of wh	ich:	
8	(check one) is atta	ched hereto.	XX was filed on September 9	, 2003		as
	Application Serial No.	10/660,039	and was amended on	•	(if appli	able)
9	I hereby state tha	ıt I have revi	ewed and understand the contents of t	he above-identii	ied specific	ation,
10	including the claims, as an	nended by an	y amendment referred to above.			
11	I hereby acknow	ledge the dut	ty to disclose information which is ma	iterial to the ex	amination o	f this
	application in accordance	with Title 37	, Code of Federal Regulations, § 1.56(a	a).		
12	I hereby claim fo	oreign priori	ty benefits under Title 35, United St	ates Code § 11	9 of any fo	reign
13	application(s) for patent	or inventor'	s certificate listed below and have a	lso identified be	elow any fo	reign
14	application for patent or i	inventor's ce	rtificate having a filing date before tha	it of the applicat	ion on whic	h the
	priority is claimed:					
15		Pri	ior Foreign Application(s)			
16						
16 17	N/A			Priority	Claimed	
	N/A (Number)	Country)	Day/month/year filed			
17 18	(Number) (Country)	Day/month/year filed	Priority Yes	Claimed No	
17	(Number) (Country)	Day/month/year filed Day/month/year filed			
17 18	(Number) (N/A (Number) (Yes	No	
17 18 19	(Number) (Yes	No	
17 18 19 20 21	(Number) (Country)	Day/month/year filed	Yes	No No	
17 18 19 20	(Number) (Country)	Day/month/year filed	Yes	No No	
17 18 19 20 21	(Number) (Country)	Day/month/year filed	Yes	No No	
17 18 19 20 21 22	(Number) (Country)	Day/month/year filed	Yes	No No	
17 18 19 20 21 22 23 24	(Number) (N/A (Number) (N/A (Number) (I hereby certify that this correspond	Country) Country)	Day/month/year filed Day/month/year filed	Yes	No No	
17 18 19 20 21 22 23	(Number) (N/A (Number) (N/A (Number) (I hereby certify that this correspond	Country) Country)	Day/month/year filed Day/month/year filed	Yes	No No	
17 18 19 20 21 22 23 24	I hereby certify that this correspond deposited with the United States Po CERTIFIED MAIL NO. 7003 in an envelope addressed to: COMMISSIONER OF PATENTS	Country) Country) lence is being ostal Service as:	Day/month/year filed Day/month/year filed	Yes	No No	
17 18 19 20 21 22 23 24 25	(Number) N/A (Number) I hereby certify that this correspond deposited with the United States Po CERTIFIED MAIL NO. 7003 in an envelope addressed to:	Country) Country) lence is being ostal Service as:	Day/month/year filed Day/month/year filed	Yes	No No	
17 18 19 20 21 22 23 24 25 26	I hereby certify that this correspond deposited with the United States Po CERTIFIED MAIL NO. 7003 in an envelope addressed to: COMMISSIONER OF PATENTS PO BOX 1450, Alexandria, VA. 2	Country) Country) lence is being ostal Service as:	Day/month/year filed Day/month/year filed	Yes	No No	

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I hereby claim the benefit under Title 35, United States Codes §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §156(a) which occurred between the filing date of the prior application and the national or PCT international filing of this application:

08/03/99 (Application Serial No) (Filing Date) **PENDING** (Status) (patented, pending, abandoned)

(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint:

(Application Serial No) (Filing Date)

Tod R. Nissle, Esq. Reg. No. 29,241 TOD R. NISSLE, P.C. P.O. Box 55630 Phoenix, Arizona 85078

Tel: (602) 494-8700 Fax: (602) 494-8707

Email: nissle@nissle.com

my attorney and will full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

Wherefor I pray that Letters Patent by granted to me for the invention or discover described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, this petition.

1	Full name of sole or first inventor:
2	Inventor's signature: Willin C. 7ay Date: 2/9/04
	Residence: 8 Ternberry Court, Turnersville, N.J. 08012
3	Citizenship: <u>UNITED STATES OF AMERICA</u>
4	Post Office Address: Same
5	
6	Full name of second joint inventor: <u>SEAN MCMANIMON</u>
7	Inventor's signature: Date: 3-6-04
8	Residence: 2 Dorato Drive, Egg Harbor Township, N.J. 08234
	Citizenship: UNITED STATES OF AMERICA
9	Post Office Address: Same
10	(Supply similar information and signature for third and subsequent joint inventors)
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13	Attorneys Docket No.: 934-P-3
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CERTIFIED MAIL CERTIFICATION RETURN RECEIPT REQUESTED ACCOMPANYING PAPER AND/OR FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

WILLIAM C. FARLEY ET. AL.

Serial No.

: 10/660,039

Filed

September 9, 2003

For:

APPARATUS AND METHOD FOR CARRYING OUT...

Attorney Docket No.

934-P-3

Box Office Actions

Commissioner for Patents

PO Box 1450

Alexandria, VA. 22313-1450

"CERTIFIED MAIL" number: 7003 0500 0001 5322 0660

Date of Deposit: <u>03/11/04</u>

I hereby certify that the attached

Request for Extension of Time; Extension of Time Check No. 25651; Response to "Notice to File"; Filing Fee/Surcharge Check No. 25652; Declaration, Power of Attorney and Petition; Declaration Verifying Small Entity Status; and return postcard are being deposited with the United States Postal Service as "CERTIFIED MAIL -- RETURN RECEIPT REQUESTED" service under 37 CFR 1.10 on the date indicated above and is addressed to: Attn. Office Actions, Commissioner for Patents, PO Box 1450, Alexandria, VA, 22313-1450.

03/11/04

TOD R. NISSLE, Reg. No. 29,241

Date